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Assistant Commissioner for Patents, Washington, D.C. 20231
on August 17, 2001
by Sandra S. Christopher Sandra S. Christopher

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : August 17, 2001
 Jens-Uwe Schneider : IBM Corp. - T81/B503
 Serial No. 09/881,346 : PO Box 12195
 Filed: June 14, 2001 : Res. Tri. Park,
 For: Computerized Method and : North Carolina 27709
 System for Score Based
 Evaluation of Capital Market
 Investment Decisions and
 Strategies

:Group Art Unit:2161

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
 Washington, D. C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed August 10, 2001 attached is the fully executed Declaration and Power of Attorney.

Please charge the \$130.00 fee to deposit account 09-0464.
 A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

By David R. Irvin
 David R. Irvin
 Patent Agent of Record
 Reg. No. 42,682

DRI/ssc
 Enclosures
 Phone: 919-543-7810
 Fax: 919-254-4330



S.S.

\$ sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Jens-Uwe Schneider

Group No.: 2161

Filed: June 14, 2001

Serial No.: 09/881,346

For: Computerized Method and System for Score Based Evaluation of Capital Market Investment Decisions and Strategies

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that the following **attached** correspondence comprising:

1. Transmittal of Missing Parts (in duplicate)
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3. Declaration and Power of Attorney
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on August 17, 2001

Saundra S. Christopher
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Saundra S. Christopher
(Signature of person mailing paper or fee)

Docket DE920010052US1



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,346	06/14/2001	Jens-Uwe Schneider	DE920010052US1

CONFIRMATION NO. 7238

25259
IBM CORPORATION
3039 CORNWALLIS RD.
DEPT. T81 / B503, PO BOX 12195
RESEARCH TRIANGLE PARK, NC 27709

FORMALITIES LETTER



OC000000006410482

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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